

Case No. 96-AG-114 (19321-DIV2)

MAIL STOP DIVISIONAL  
COMMISSIONER FOR PATENTS  
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ALEXANDRIA, VA 22313-1450

I HEREBY CERTIFY THIS PAPER OR FEE IS BEING  
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CFR 1.10 ON THE DATE INDICATED BELOW AND IS  
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COMMISSIONER FOR PATENTS, P. O. BOX 1450,  
ALEXANDRIA, VA 22313-1450.

EXPRESS MAIL NO: EV 322694069 US

DATE OF DEPOSIT: March 3, 2004

NAME: Justin Goree

SIGNATURE: 

In re Application of: **CAPPELLETTI**

Serial No.: **NOT YET ASSIGNED**

Filed: **HEREWITH**

For: **METHOD OF MAKING FLOATING GATE NON-VOLATILE MEMORY  
CELL WITH LOW ERASING VOLTAGE HAVING DOUBLE LAYER  
GATE DIELECTRIC**

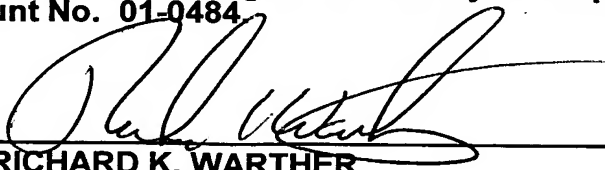
This application is a divisional of Serial No. 10/158,706 filed May 30, 2002, which is a  
divisional of Serial No. 09/521,876 filed March 8, 2000, now U.S. Patent No. 6,399,444, which is  
a divisional of Serial No. 08/940,856 filed September 29, 1997, now U.S. Patent No. 6,054,731

Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the  
above-identified application.

- ☒ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
- ☐ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the amount  
of \$ 240.00.
- ☐ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
- ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check in the  
amount of \$ 130.00 to cover the petition fee.
- ☒ The Commissioner is hereby authorized to charge or credit any discrepancies  
in fee amounts to Deposit Account No. 01-0484.

Date: March 3, 2004

  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:                     )  
**CAPPELLETTI**   )  
   )  
Serial No.   **Not Yet Assigned**                     )  
   )  
Filing Date:   **Herewith**                             )  
   )  
For: **METHOD OF MAKING FLOATING GATE**)  
     **NON-VOLATILE MEMORY CELL WITH** )  
     **LOW ERASING VOLTAGE**                         )  
     **HAVING DOUBLE LAYER GATE**                   )  
     **DIELECTRIC**                                     )  
\_\_\_\_\_)

This application is a divisional of Serial No. 10/158,706 filed May 30, 2002, which is a divisional of Serial No. 09/521,876 filed March 8, 2000, now U.S. Patent No. 6,399,444, which is a divisional of Serial No. 08/940,856 filed September 29, 1997, now U.S. Patent No. 6,054,731

CITATION UNDER 37 CFR §1.97

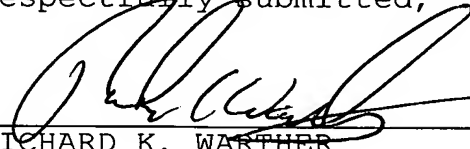
Mail Stop Divisional  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. A copy of each reference is also enclosed. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP.

If any additional extension and/or fee is required, or if any additional fee for claims is required, authorization is given to charge Deposit Account No. **01-0484**.

Respectfully submitted,

  
\_\_\_\_\_  
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**FORM PTO-1449****LIST OF PATENTS AND APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

ATTORNEY DOCKET NO.: 96-AG-114 (19321\_DIV2)

SERIAL NO.: NOT YET ASSIGNED

FILING DATE: HERewith

APPLICANT: CAPPELLETTI

GROUP:

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING IF APPROPRIATE
	AA	5,389,808	02/14/95	Arai	257	316	
	AB	5,420,845	05/30/95	Maeda et al.	369	100	
	AC	5,511,020	04/23/96	Hu et al.	365	185.28	
	AD	5,596,214	01/21/97	Endo	257	324	
	AE	5,640,345	06/17/97	Okuda et al.	365	184	
	AF	5,670,790	09/23/97	Katoh et al.	257	14	
	AG	5,801,401	09/01/98	Forbes	257	77	
	AH	5,886,368	03/23/99	Forbes et al.	257	77	
	AI	5,429,965	07/04/95	Shimoji	437	43	
	AJ	5,504,022	04/02/96	Nakanishi et al.	437	43	
	AK	5,966,603	10/12/99	Eitan	438	257	
	AL	5,496,753	03/05/96	Sakurai et al.	437	43	
	AM	6,054,731	04/25/00	Cappelletti	257	314	
	AN	6,147,380	11/14/00	Cappelletti	257	317	
	AO	4,099,196	07/04/78	Simko	357	23	
	AP	4,314,265	02/02/82	Simko	357	23	
	AQ	5,278,440	01/11/94	Shimoji	257	324	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION Yes - No
	AR	0 560 435 A2	9/1993	EP	H01L21	28	
	AS	0 681 333 A1	11/1995	EP	H01L29	00	
	AT	2 533 740	3/1984	France	G11C17	00	English Abstract
	AU	60-189972	9/1985	Japan	H01L29	78	English Abstract
	AV	61-001056	7/1986	Japan	H01L29	78	English Abstract
	AW	5-75135	3/1993	Japan	H01L29	788	English Abstract NOT AVAILABLE

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; \* Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.